

# Bath Observables with HOPS

Energy Flow in Strongly Coupled Open Quantum Systems

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## Introduction

- Motivation

- Technical Basics

## Bath Observables with HOPS

## Applications

- Energy Shovel

- Otto Cycle

## Outlook

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- Technical Basics

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## Applications

- Energy Shovel

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## Situation

Consider an open quantum system

$$H = \underbrace{H_S}_{\text{"small"}} + \underbrace{H_I}_{?} + \underbrace{H_B}_{\text{"big", simple}} \quad (1)$$

with  $[H_S, H_B] = 0$ .

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- ▶ strong coupling:  $\langle H_I \rangle \sim \langle H_S \rangle \implies$  we can't neglect the interaction  $\implies$  thermodynamics?

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- ▶ strong coupling:  $\langle H_I \rangle \sim \langle H_S \rangle \Rightarrow$  we can't neglect the interaction  $\Rightarrow$  thermodynamics?
- ▶ but what is clear: *need to get access to exact dynamics of  $H_I, H_B$*

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Is that possible?

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### Sneak Peek

We will be able to calculate  $\frac{d\langle H_B \rangle}{dt}$  (and  $\langle H_I \rangle$ ).

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- ▶ and still more general observables (omitted)
- ▶ won't call this *heat-flow* because it isn't *the* thermodynamic heat flow
- ▶ nevertheless: may be interesting *qualitative* measure for energy flow

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Motivation

Technical Basics

## Bath Observables with HOPS

## Applications

Energy Shovel

Otto Cycle

## Outlook

# Standard Model of Open Systems

In the following we will work with models of the form<sup>2</sup>

$$H = H_S(t) + \sum_{n=1}^N \left[ H_B^{(n)} + \left( L_n^\dagger(t) B_n + \text{h.c.} \right) \right], \quad (2)$$

where

- ▶  $H_S$  is the System Hamiltonian
- ▶  $H_B^{(n)} = \sum_{\lambda} \omega_{\lambda}^{(n)} a_{\lambda}^{(n),\dagger} a_{\lambda}^{(n)}$
- ▶  $B_n = \sum_{\lambda} g_{\lambda}^{(n)} a_{\lambda}^{(n)}$ .

---

<sup>2</sup>Sometimes this is called the “Standard Model of Open Systems”.



## What remains of the Bath?

### Bath Correlation Function

$$\alpha(t-s) = \langle B(t)B(s) \rangle \left( \stackrel{T=0}{=} \sum_{\lambda} |g_{\lambda}|^2 e^{-i\omega_{\lambda}(t-s)} \right) = \frac{1}{\pi} \int J(\omega) e^{-i\omega t} d\omega$$

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## Spectral Density

$$J(\omega) = \pi \sum_{\lambda} |g_{\lambda}|^2 \delta(\omega - \omega_{\lambda})$$

- ▶ in thermodynamic limit  $\rightarrow$  smooth function
- ▶ here usually: Ohmic SD  $J(\omega) = \eta\omega e^{-\omega/\omega_c}$  (think phonons)

## NMQSD (Zero Temperature)

Open system dynamics formulated as a *stochastic* differential equation:

$$\partial_t |\psi_t(\boldsymbol{\eta}_t^*)\rangle = -iH(t) |\psi_t(\boldsymbol{\eta}_t^*)\rangle + \mathbf{L} \cdot \boldsymbol{\eta}_t^* |\psi_t(\boldsymbol{\eta}_t^*)\rangle - \sum_{n=1}^N L_n^\dagger(t) \int_0^t ds \alpha_n(t-s) \frac{\delta |\psi_t(\boldsymbol{\eta}_t^*)\rangle}{\delta \eta_n^*(s)}, \quad (3)$$

with

$$\mathcal{M}(\eta_n(t)) = 0, \quad \mathcal{M}(\eta_n(t)\eta_m(s)) = 0, \quad \mathcal{M}(\eta_n(t)\eta_m(s)^*) = \delta_{nm}\alpha_n(t-s), \quad (4)$$

by projecting on coherent bath states.<sup>3</sup>

System state can be recovered by averaging over  $\eta$

$$\rho_S(t) = \text{tr}_B [|\psi(t)\rangle\langle\psi(t)|] = \mathcal{M}_{\boldsymbol{\eta}_t^*} [|\psi_t(\boldsymbol{\eta}_t)\rangle\langle\psi_t(\boldsymbol{\eta}_t^*)|]. \quad (5)$$

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<sup>3</sup>For details see: [3]

# HOPS

Using  $\alpha_n(\tau) = \sum_{\mu}^{M_n} G_{\mu}^{(n)} e^{-W_{\mu}^{(n)}\tau}$  we define

$$D_{\mu}^{(n)}(t) \equiv \int_0^t ds G_{\mu}^{(n)} e^{-W_{\mu}^{(n)}(t-s)} \frac{\delta}{\delta \eta_n^*(s)} \quad (6)$$

and  $D^{\mathbf{k}} \equiv \prod_{n=1}^N \prod_{\mu=1}^{M_n} \sqrt{\frac{\underline{\mathbf{k}}_{n,\mu}!}{(G_{\mu}^{(n)})^{\underline{\mathbf{k}}_{n,\mu}}}} \frac{1}{i^{\underline{\mathbf{k}}_{n,\mu}}} (D_{\mu}^{(n)})^{\underline{\mathbf{k}}_{n,\mu}}$ ,  $\psi_t^{\mathbf{k}} \equiv D^{\mathbf{k}}\psi_t$  we find

$$\dot{\psi}_t^{\mathbf{k}} = \left[ -iH_S(t) + \mathbf{L}(t) \cdot \boldsymbol{\eta}_t^* - \sum_{n=1}^N \sum_{\mu=1}^{M_n} \underline{\mathbf{k}}_{n,\mu} W_{\mu}^{(n)} \right] \psi_t^{\mathbf{k}} + i \sum_{n=1}^N \sum_{\mu=1}^{M_n} \sqrt{G_{\mu}^{(n)}} \left[ \sqrt{\underline{\mathbf{k}}_{n,\mu}} L_n(t) \psi_t^{\underline{\mathbf{k}} - \mathbf{e}_{n,\mu}} + \sqrt{(\underline{\mathbf{k}}_{n,\mu} + 1)} L_n^{\dagger}(t) \psi_t^{\underline{\mathbf{k}} + \mathbf{e}_{n,\mu}} \right]. \quad (7)$$

## Introduction

- Motivation

- Technical Basics

## Bath Observables with HOPS

## Applications

- Energy Shovel

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## Outlook

## Zero Temperature, One Bath, Linear NMQSD

We want to calculate

$$J = -\frac{d\langle H_B \rangle}{dt} = \langle L^\dagger \partial_t B(t) + L \partial_t B^\dagger(t) \rangle_I. \quad (8)$$

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Result (NMQSD)

$$J(t) = -i\mathcal{M}_{\eta^*} \langle \psi(\eta, t) | L^\dagger \dot{D}_t | \psi(\eta^*, t) \rangle + \text{c.c.} \quad (9)$$

with  $\dot{D}_t = \int_0^t ds \dot{\alpha}(t-s) \frac{\delta}{\delta \eta_s^*}$ .



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with  $\dot{D}_t = \int_0^t ds \dot{\alpha}(t-s) \frac{\delta}{\delta \eta_s^*}$ .

## Result (HOPS)

$$J(t) = -\sum_{\mu} \sqrt{G_{\mu}} W_{\mu} \mathcal{M}_{\eta^*} \langle \psi^{(0)}(\eta, t) | L^\dagger | \psi^{\mathbf{e}_{\mu}}(\eta^*, t) \rangle + \text{c.c.} \quad (10)$$

# Generalizations

- ▶ finite temperatures
- ▶ nonlinear NMQSD/HOPS
- ▶ multiple baths straight forward
- ▶ interaction energy: “removing the dot”...
- ▶ general “collective” bath observables  $O_S \otimes (B^a)^\dagger B^b$  with  $B = \sum_\lambda g_\lambda a_\lambda$

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- Technical Basics

## Bath Observables with HOPS

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- Energy Shovel

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## Outlook

## Introduction

Motivation

Technical Basics

## Bath Observables with HOPS

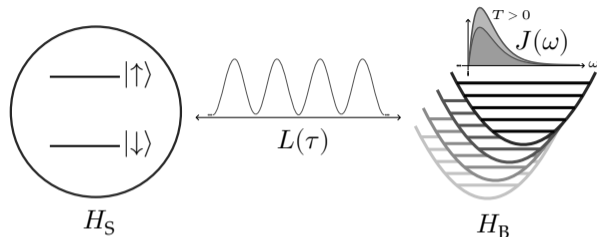
## Applications

Energy Shovel

Otto Cycle

## Outlook

# Model

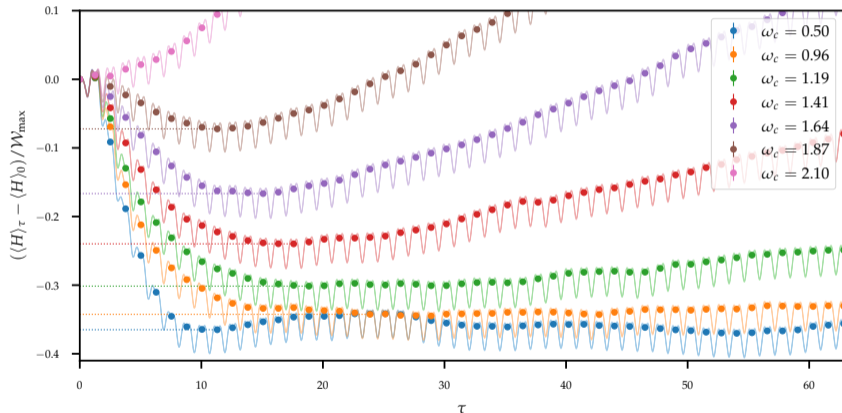


$$H = \frac{1}{2}\sigma_z + \frac{f(\tau)}{2} \sum_{\lambda} (g_{\lambda}\sigma_x^{\dagger}a_{\lambda} + g_{\lambda}^*\sigma_x a_{\lambda}^{\dagger}) + \sum_{\lambda} \omega_{\lambda} a_{\lambda}^{\dagger} a_{\lambda}, \quad |\psi_0\rangle_S = |\downarrow\rangle \quad (11)$$

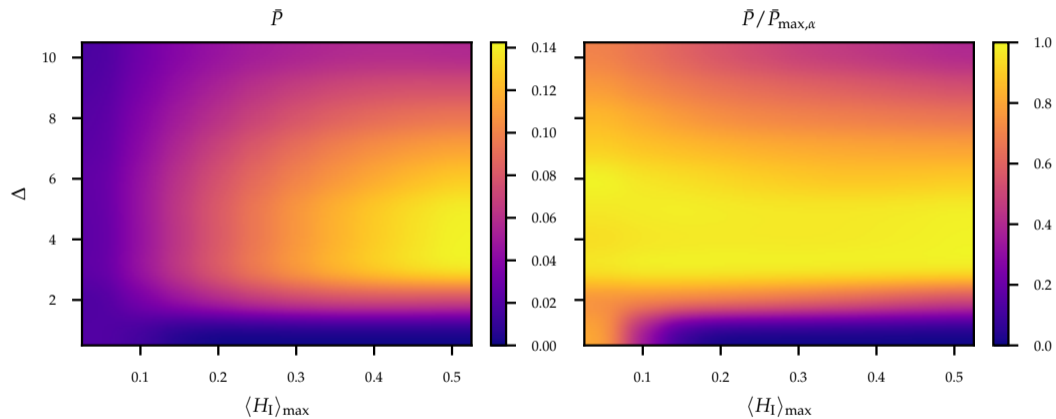
- ▶  $f(\tau) = \sin^2(\frac{\Delta}{2}\tau)$
- ▶ initial state of total system:  $\rho_0 = |\downarrow\rangle\langle\downarrow| \otimes \frac{e^{-\beta H_B}}{Z}$
- ▶ Shifted SD for resonance

# Extracting Energy from One Bath

► how much energy can be *unitarily* extracted?  $\implies \mathcal{W}_{\max} = \frac{1}{\beta} S(\rho_S \parallel \rho_S^\beta)$



# Speed Limit



## Introduction

Motivation

Technical Basics

## Bath Observables with HOPS

## Applications

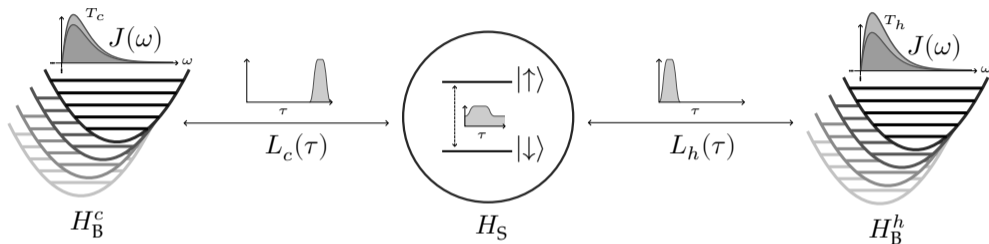
Energy Shovel

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# Quantum Otto Cycle



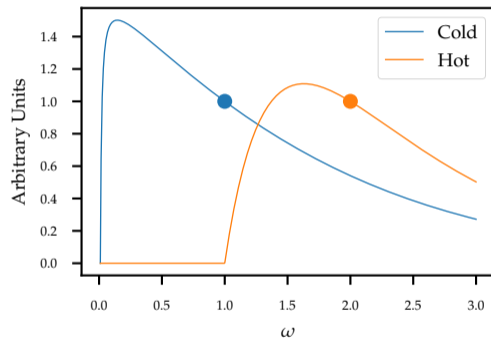
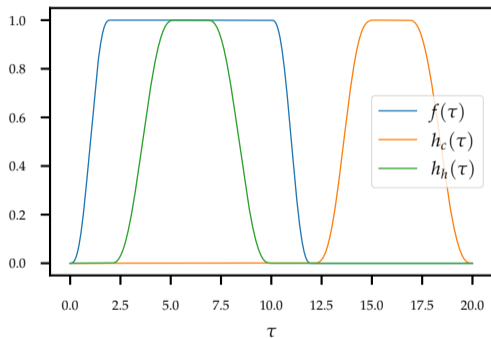
## Model

Spin-Boson model with compression of  $H_S$  and modulation of  $L$ .

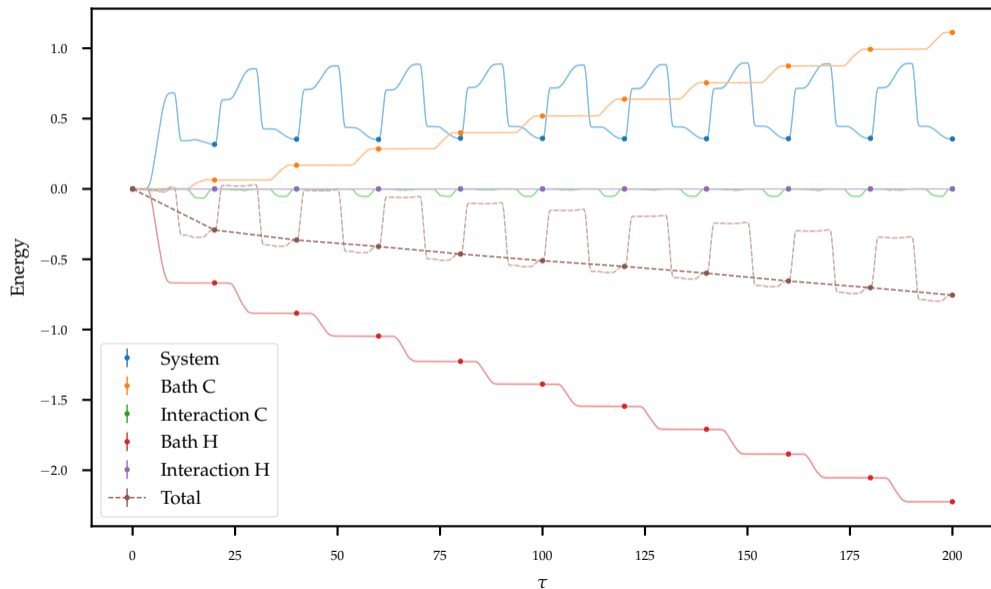
- ▶ classical toy model of the quantum heat engine community<sup>4</sup>

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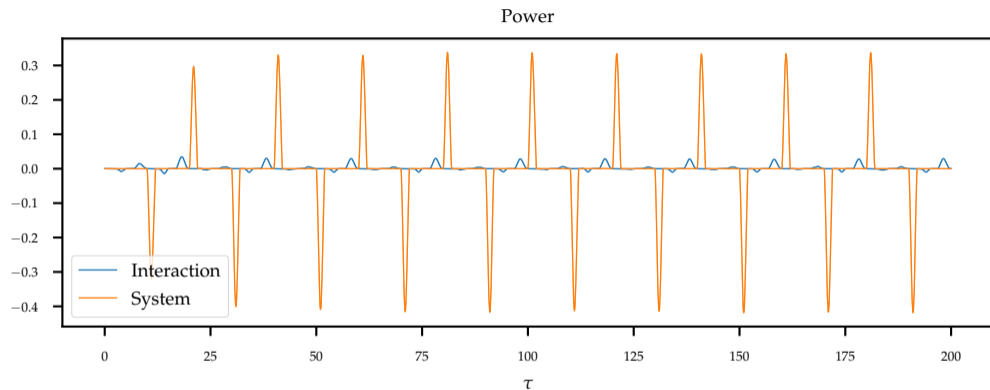
# Modulation and Spectral Densities



# Full Energy Overview

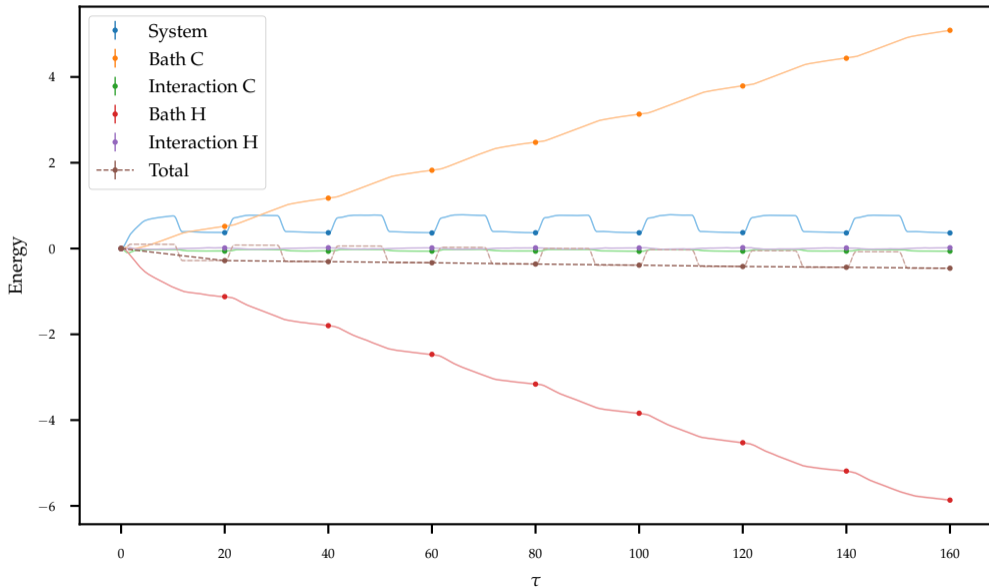


# Power Contributions



- ▶  $\bar{P} = .0025$ ,  $\eta \approx 29\%$ ,  $T_c = 1$ ,  $T_h = 20$
- ▶ no tuning of parameters, except for resonant coupling
- ▶ long bath memory  $\omega_c = 1$ , but weak-ish coupling

# Continuously Coupled Version



## Current Work

- ▶ better performance through “overlapping” and shifting strokes?
- ▶ stronger coupling any good?
- ▶ non-Markovianity + strong coupling any good?
- ▶ what is the optimal efficiency and power?

## Introduction

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## Applications

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- Otto Cycle

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## On the “To Do” List

- ▶ verify/falsify weak coupling results in the literature (engines)
- ▶ three-level systems: there is an experimental paper ;)
- ▶ parameter scan of two qubit model
- ▶ filter modes
- ▶ ...



## Lessons Learned

- ▶ careful convergence checks pay off
- ▶ surveying literature is important
- ▶ properly documenting observations is a great help and should be done as early as possible
- ▶ applications should be carefully chosen to answer interesting questions
- ▶ numerics are helpful, but physical insights are important
- ▶ comparison with some experiments would have been nice

# References I

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## Model

$$H = \frac{\Omega}{4}(p^2 + q^2) + \frac{1}{2}g \sum_{\lambda} (g_{\lambda}^* b_{\lambda} + g_{\lambda} b_{\lambda}^{\dagger}) + \sum_{\lambda} \omega_{\lambda} b_{\lambda}^{\dagger} b_{\lambda}, \quad (12)$$

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...leading to ...

$$\dot{q} = \Omega p \quad (13)$$

$$\dot{p} = -\Omega q - \int_0^t \mathfrak{I}[\alpha_0(t-s)]q(s) ds + W(t) \quad (14)$$

$$\dot{b}_{\lambda} = -ig_{\lambda} \frac{q}{2} - i\omega_{\lambda} b_{\lambda} \quad (15)$$

with the operator noise  $W(t) = -\sum_{\lambda} (g_{\lambda}^* b_{\lambda}(0) e^{-i\omega_{\lambda} t} + g_{\lambda} b_{\lambda}^{\dagger}(0) e^{i\omega_{\lambda} t})$ ,  
 $\langle W(t)W(s) \rangle = \alpha(t-s)$  and  $\alpha_0 \equiv \alpha|_{T=0}$ .

Solution through a matrix  $G(t)$  with  $G(0) = \mathbb{1}$  and

$$\dot{G}(t) = AG(t) - \int_0^t K(t-s)G(s) \, ds, \quad A = \begin{pmatrix} 0 & \Omega \\ -\Omega & 0 \end{pmatrix}, \quad K(t) = \begin{pmatrix} 0 & 0 \\ \mathfrak{I}[\alpha_0(t)] & 0 \end{pmatrix}. \quad (16)$$



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Then

$$\begin{pmatrix} q(t) \\ p(t) \end{pmatrix} = G(t) \begin{pmatrix} q(0) \\ p(0) \end{pmatrix} + \int_0^t G(t-s) \begin{pmatrix} 0 \\ W(s) \end{pmatrix} \, ds. \quad (17)$$

► “exact” solution via laplace transform and BCF expansion + residue theorem

# Result

## Solution

$$G(t) = \sum_{l=1}^{N+1} \left[ R_l \begin{pmatrix} \tilde{z}_l & \Omega \\ \frac{\tilde{z}_l^2}{\Omega} & \tilde{z}_l \end{pmatrix} e^{\tilde{z}_l \cdot t} + \text{c.c.} \right] \quad (18)$$

with  $R_l = f_0(\tilde{z}_l)/p'(\tilde{z}_l)$ ,  $f_0, p$  polynomials,  $\tilde{z}_l$  roots of  $p$ .

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with  $R_l = f_0(\tilde{z}_l)/p'(\tilde{z}_l)$ ,  $f_0, p$  polynomials,  $\tilde{z}_l$  roots of  $p$ .

- ▶ note:  $G$  doesn't depend on temperature
- ▶ solution very sensitive to precision of the fits and roots

## Bath Energy Derivative

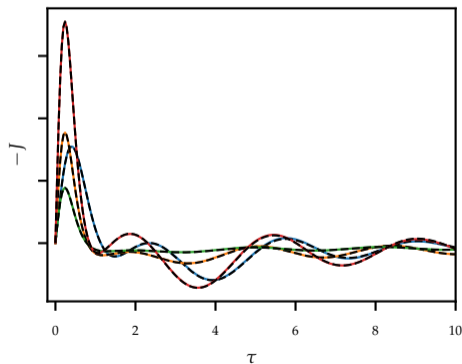
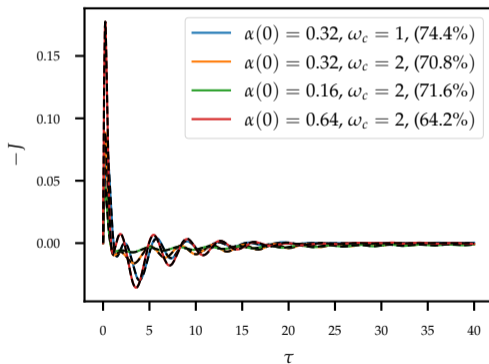
$$\begin{aligned}\langle \dot{H}_B \rangle &= \sum_{\lambda} \omega_{\lambda} (\langle b_{\lambda}^{\dagger} \dot{b}_{\lambda} \rangle + \text{c.c.}) \\ &= -\frac{1}{2} \Im \left[ \int_0^t ds \langle q(t) q(s) \rangle \dot{\alpha}_0(t-s) \right] \\ &\quad + \frac{1}{2} G_{12}(t) [\alpha(t) - \alpha_0(t)] - \frac{\Omega}{2} \int_0^t ds G_{11}(s) [\alpha(s) - \alpha_0(s)]\end{aligned}\tag{19}$$

► becomes huge sum of exponentials (thanks Mathematica)

# One Bath, Finite Temperature

## Parameters

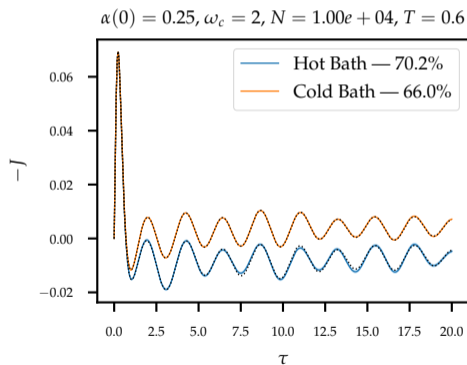
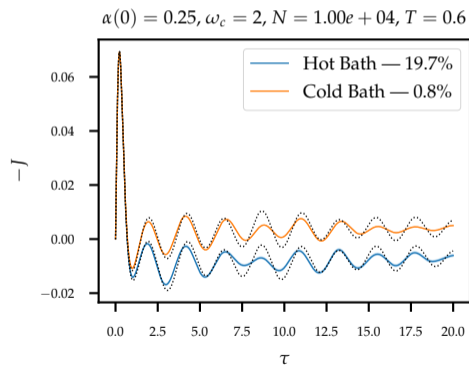
$\Omega = 1$ , Ohmic BCF  $\frac{\eta}{\pi}(\omega_c/(1+i\omega_c\tau))^2$  with  $(\alpha(0) = 0.64, \omega_c = 2)$ ,  $N = 10^5$  samples, 15 Hilbert space dimensions,  $|\psi(0)\rangle_S = |1\rangle_S$ ,  $T = 1$



# Two Baths, Finite Temperature (Gradient)

## Parameters

$\Omega = \Lambda = 1$ , symmetric Ohmic BCFs with  $(\alpha(0) = 0.25, \omega_c = 2)$ ,  $N = 10^4$  samples, 9 Hilbert space dimensions,  $|\psi(0)\rangle_S = |0, 0\rangle_S$ ,  $T = 0.6$ ,  $\gamma = 0.5$



## Situation (Longer)

Consider an open quantum system

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<sup>5</sup>even in strong coupling equilibrium...

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Consider an open quantum system

$$H = \underbrace{H_S}_{\text{"small"}} + \underbrace{H_I}_{?} + \underbrace{H_B}_{\text{"big", simple}} \quad (20)$$

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- ▶ we do quantum mechanics  $\implies$  can't separate bath and system, especially not dynamics!
- ▶ no consensus about strong coupling thermodynamics:
- ▶ but what is clear: *need to get access to exact dynamics of  $H_I, H_B$*

---

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# Generalizations

## Finite Temperature

$$J(t) = J_0(t) + [\langle L^\dagger \partial_t \xi(t) \rangle + \text{c.c.}] \quad (21)$$

with  $\mathcal{M}(\xi(t)) = 0 = \mathcal{M}(\xi(t)\xi(s))$ ,  $\mathcal{M}(\xi(t)\xi^*(s)) = \frac{1}{\pi} \int_0^\infty d\omega \bar{n}(\beta\omega) J(\omega) e^{-i\omega(t-s)}$  and  $J(\omega) = \pi \sum_\lambda |g_\lambda|^2 \delta(\omega - \omega_\lambda)$ .<sup>7</sup>

- ▶ finite temperatures
- ▶ nonlinear NMQSD/HOPS
- ▶ multiple baths straight forward
- ▶ interaction energy: “removing the dot”...
- ▶ general “collective” bath observables  $O_S \otimes (B^a)^\dagger B^b$  with  $B = \sum_\lambda g_\lambda a_\lambda$

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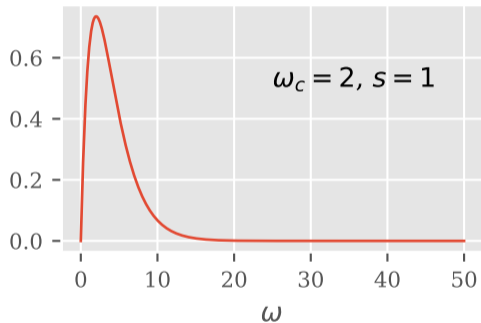
<sup>7</sup> $\partial_t \xi(t)$  exists if correlation function is smooth

## More Papers on Thermo

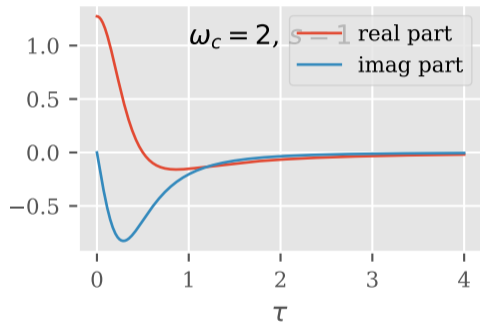
[1, 2, 5–14]

# Ohmic SD BCF

$$J = \eta e^{-\omega/\omega_c} \omega^s$$



$$J = \eta \Gamma(s+1) / (1 + i\omega_c \tau)^{s+1}$$





## NMQSD (Zero Temperature)

Expanding in a Bargmann (unnormalized) coherent state basis [15]  $\{|\mathbf{z}^{(1)}, \mathbf{z}^{(2)}, \dots\rangle = |\underline{\mathbf{z}}\rangle\}$

$$|\psi(t)\rangle = \int \prod_{n=1}^N \left( \frac{d\mathbf{z}^{(n)}}{\pi^{N_n}} e^{-|\mathbf{z}|^2} \right) |\psi(t, \underline{\mathbf{z}}^*)\rangle |\underline{\mathbf{z}}\rangle, \quad (22)$$

we obtain

$$\partial_t \psi_t(\boldsymbol{\eta}_t^*) = -iH\psi_t(\boldsymbol{\eta}_t^*) + \mathbf{L} \cdot \boldsymbol{\eta}_t^* \psi_t(\boldsymbol{\eta}_t^*) - \sum_{n=1}^N L_n^\dagger \int_0^t ds \alpha_n(t-s) \frac{\delta \psi_t(\boldsymbol{\eta}_t^*)}{\delta \eta_n^*(s)}, \quad (23)$$

with

$$\mathcal{M}(\eta_n^*(t)) = 0, \quad \mathcal{M}(\eta_n(t)\eta_m(s)) = 0, \quad \mathcal{M}(\eta_n(t)\eta_m(s)^*) = \delta_{nm}\alpha_n(t-s), \quad (24)$$

where  $\alpha_n(t-s) = \sum_{\lambda} |g_{\lambda}^{(n)}|^2 e^{-i\omega_{\lambda}^{(n)}(t-s)} = \langle B(t)B(s) \rangle_{I, \rho(0)}$  [16] (fourier transf. of spectral density  $J(\omega) = \pi \sum_{\lambda} |g_{\lambda}|^2 \delta(\omega - \omega_{\lambda})$ ).

# Fock-Space Embedding

As in Ref. [17] we can define

$$|\Psi\rangle = \sum_{\underline{\mathbf{k}}} |\psi^{\underline{\mathbf{k}}}\rangle \otimes |\underline{\mathbf{k}}\rangle \quad (25)$$

where  $|\underline{\mathbf{k}}\rangle = \bigotimes_{n=1}^N \bigotimes_{\mu=1}^{M_n} |\mathbf{k}_{n,\mu}\rangle$  are bosonic Fock-states.

Now eq. (7) becomes

$$\partial_t |\Psi\rangle = \left[ -iH_S + \mathbf{L} \cdot \boldsymbol{\eta}^* - \sum_{n=1}^N \sum_{\mu=1}^{M_n} b_{n,\mu}^\dagger b_{n,\mu} W_\mu^{(n)} + i \sum_{n=1}^N \sum_{\mu=1}^{M_n} \sqrt{G_{n,\mu}} (b_{n,\mu}^\dagger L_n + b_{n,\mu} L_n^\dagger) \right] |\Psi\rangle. \quad (26)$$

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$\Rightarrow$  possible to derive an upper bound for the norm of  $|\psi^{\underline{\mathbf{k}}}\rangle \Rightarrow$  new truncation scheme

# Multiple Baths

- ▶ theory generalizes easily to  $N$  baths
- ▶ generalized our HOPS code to  $N$  baths
- ▶ solving a model with two coupled HOs is now possible

$$H = \sum_{i \in \{1,2\}} [H_O^{(i)} + q_i B^{(i)} + H_B^{(i)}] + \frac{\gamma}{4} (q_1 - q_2)^2, \quad (27)$$

where  $H_O^{(i)} = \frac{\Omega_i}{4} (p_i^2 + q_i^2)$ ,  $B^{(i)} = \sum_{\lambda} (g_{\lambda}^{(i),*} b_{\lambda}^{(i)} + g_{\lambda}^{(i)} b_{\lambda}^{(i),\dagger})$  and  $H_B^{(i)} = \sum_{\lambda} \omega_{\lambda} b_{\lambda}^{(i),\dagger} b_{\lambda}^{(i)}$ .

One Bath

Other Projects

# One Bath, Zero Temperature

## Model: Spin-Boson

$$H = \frac{1}{2}\sigma_z + \frac{1}{2} \sum_{\lambda} (g_{\lambda}\sigma_x^{\dagger}a_{\lambda} + g_{\lambda}^*\sigma_x a_{\lambda}^{\dagger}) + \sum_{\lambda} \omega_{\lambda} a_{\lambda}^{\dagger} a_{\lambda}, |\psi_0\rangle_S = |\uparrow\rangle \quad (28)$$

► how do we check convergence:

# One Bath, Zero Temperature

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- ▶ how do we check convergence:
  - ▶ old: difference of results to some “good” configuration

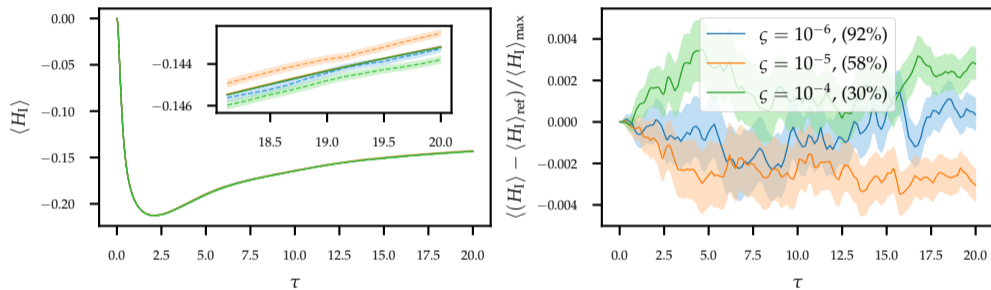
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- ▶ how do we check convergence:
  - ▶ old: difference of results to some “good” configuration
  - ▶ new: consistency with energy conservation

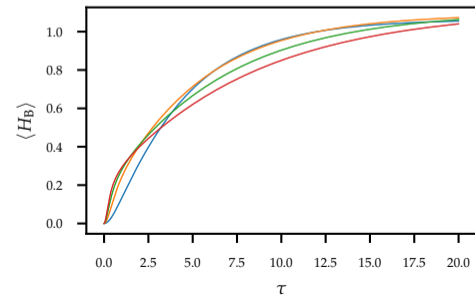
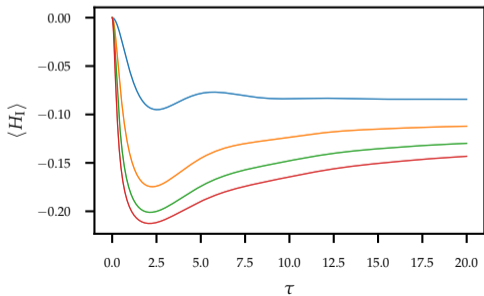
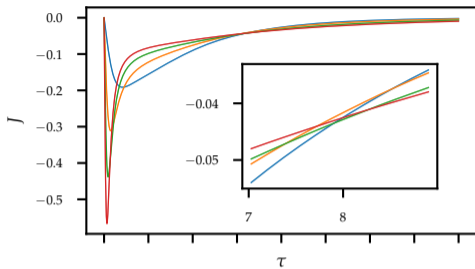
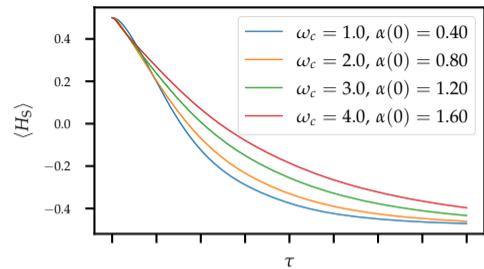
# Example: Dependence of the Interaction Energy on Stochastic Process Sampling



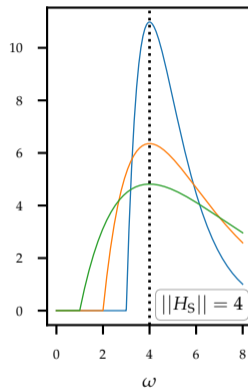
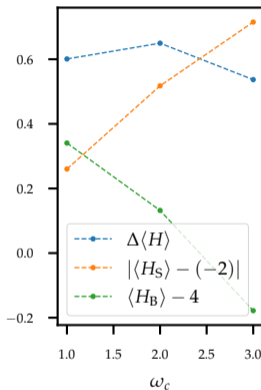
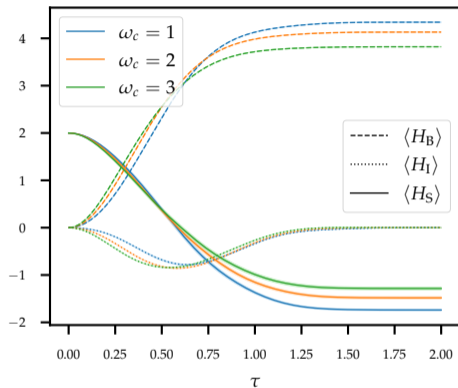
- ▶  $\alpha(0) = 1.6$  and  $\omega_c = 4 \implies$  stress HOPS through fast decaying BCF
- ▶ “perfect” results only with very high accuracy<sup>8</sup>  $\zeta$
- ▶ good qualitative results for less extreme configurations (common theme)

<sup>8</sup>smaller  $\zeta$  is better

# Various Cutoff Frequencies

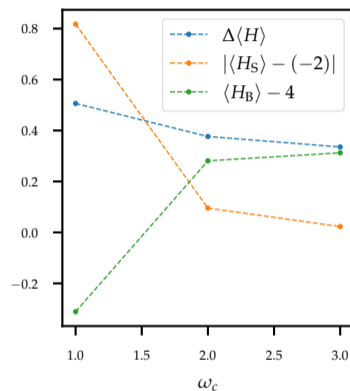
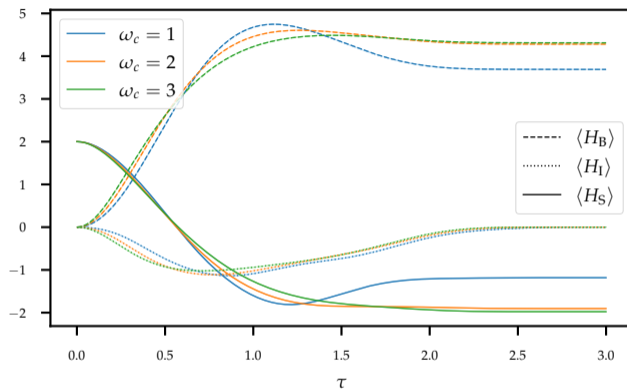


# Non-Markovian Dynamics



► interaction strengths chosen for approx. same interaction energy

# Non-Markovian Dynamics



- ▶ interaction strengths chosen for approx. same interaction energy
- ▶ timing important for energy transfer “performance”

Beware :)

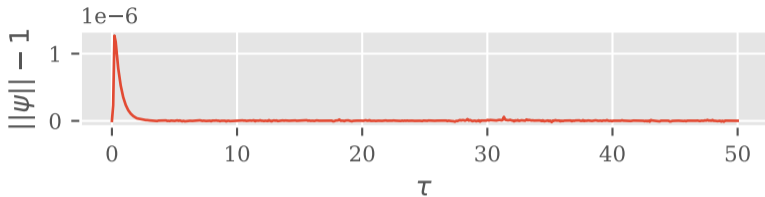
The following is WIP and has not been written up properly yet.

One Bath

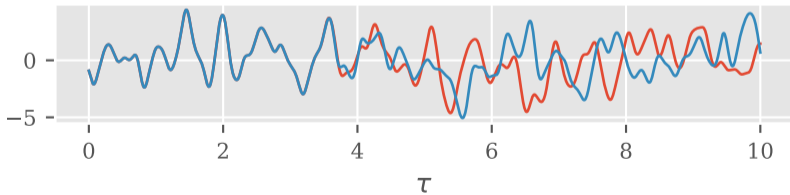
Other Projects



► stabilized normalization in nonlinear HOPS



► stochastic process sampling via Cholesky decomposition



- ▶ norm based truncation scheme
  - ▶ promising at “friendly” coupling strengths

